



PATENT
Application Number: 09/733,896
Attorney Docket Number: 05725.0806-00
Customer Number 22,852

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Carlos PINZON, et al.) Group Art Unit: 1711
Application No.: 09/733,896) Examiner: RAJGURU, U.K.
Filed: December 12, 2000)
For: COSMETIC COMPOSITIONS CONTAINING)
HETERO POLYMERS AND OIL-SOLUBLE)
POLYMERS AND METHODS OF USING)
SAME)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Office the document listed on the attached PTO Form 1449. This Third Supplemental Information Disclosure Statement is being filed concurrently with a Request for Continued Examination under 37 C.F.R. § 1.114. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Copies of the listed documents are attached. Applicants respectfully request that the Office consider the listed document and indicate that it was considered by making appropriate notations on the attached form.

With respect to the non-English language documents, Applicants submit the following remarks:

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1. DE 38 39 136 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
2. DE 197 07 309 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
3. DE 197 50 246 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
4. EP 0 374 332 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
5. EP 0 557 196 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
6. EP 0 609 132 B1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
7. EP 0 749 746 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 5,945,095, which is believed to be an English language counterpart.
8. EP 0 749 747 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 5,851,517, which is believed to be an English language counterpart.
9. EP 0 775 483 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 5,972,354, which is believed to be an English language counterpart.
10. EP 0 847 752 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

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11. **EP 0 879 592 A2** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
12. **EP 0 887 073 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,203,780, which is believed to be an English language counterpart.
13. **EP 0 930 058 B1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
14. **EP 0 930 060 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,254,877, which is believed to be an English language counterpart.
15. **EP 0 958 811 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
16. **EP 0 959 066 A2** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,165,971, which is believed to be an English language counterpart.
17. **EP 0 959 091 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent Application Publication No. US 2002/0119171, which is believed to be an English language counterpart.
18. **EP 0 976 390 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent Application Publication No. US 2001/0014313, which is believed to be an English language counterpart.

19. **EP 0 984 025 A2** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,280,846, which is believed to be an English language counterpart.
20. **EP 1 002 514 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,203,807, which is believed to be an English language counterpart.
21. **EP 1 031 342 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
22. **EP 1 048 282 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
23. **EP 1 053 742 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
24. **EP 1 064 919 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
25. **EP 1 064 920 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,482,400, which is believed to be an English language counterpart.
26. **EP 1 066 814 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,491,931, which is believed to be an English language counterpart.
27. **EP 1 068 854 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,372,235, which is believed to be an English language counterpart.

28. **EP 1 068 855 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 6,402,408, which is believed to be an English language counterpart.

29. **EP 1 086 945 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

30. **EP 1 090 627 B1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

31. **EP 1 114 636 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent Application Publication No. US 2001/0031280, which is believed to be an English language counterpart.

32. **FR 2 232 303** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. Also submitted is U.S. Patent No. 3,937,811, which is believed to be an English language counterpart.

33. **FR 2 674 126** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

34. **FR 2 785 179** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

35. **FR 2 802 806** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

36. **FR 2 819 402** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

37. JP 53043577 A - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

38. JP 56123909 A - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

39. JP 56166276 A - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

40. JP 61065809 A - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

41. JP 2127568 A - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

42. JP 2216279 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

43. JP 3014683 A - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

44. JP 04346909 A - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.

45. JP 7179795 A - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

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46. JP 7267827 A - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
47. JP 8225316 A - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
48. JP 9295922 A - An abstract of the disclosure of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.
49. JP 10259344 A - An English language Abstract of this document can be found in the English language Abstract from Patent Abstracts of Japan submitted herewith.
50. JP 11106216 A - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
51. WO 91/12793 - An abstract of the disclosure of this document can be found on the front of this document.
52. WO 94/21233 - An abstract of the disclosure of this document can be found on the front of this document.
53. WO 95/33000 - An abstract of the disclosure of this document can be found on the front of this document.
54. WO 02/47605 A2 - An abstract of the disclosure of this document can be found on the front of this document.
55. WO 02/47627 A1 - An abstract of the disclosure of this document can be found on the front of this document.
56. WO 02/055031 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

57. WO 02/056845 A1 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

58. FR 1 529 329 - A certified English translation of this document is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Office applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Please charge any fee due in connection with the filing of this Statement to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON,
FARABOW, GARRETT & DUNNER, L.L.P.

By:



Michelle E. O'Brien
Reg. No. 46,203

Dated: July 24, 2003

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INFORMATION DISCLOSURE CITATION

Atty. Docket No.	5725.0806-00	Application No.	09/733,896
Applicant	Carlos O. PINZON et al.		
Filing Date	December 12, 2000	Group:	1711



U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	2,379,413	03 Jul. 1945	Bradley			
	2,662,068	08 Dec. 1953	Floyd			
	2,663,649	22 Dec. 1953	Winkler			
	2,890,097	09 Jun. 1959	Coe			
	2,962,461	29 Nov. 1960	Toussaint et al.			
	3,141,787	21 Jul. 1964	Goetze et al.			
	3,156,572	10 Nov. 1964	Carlick et al.			
	3,255,082	07 Jun. 1966	Barton			
	3,341,465	12 Sep. 1967	Kaufman et al.			
	3,412,115	19 Nov. 1968	Floyd et al.			
	3,615,289	26 Oct. 1971	Felton			
	3,778,394	11 Dec. 1973	Lovald et al.			
	3,857,960	31 Dec. 1974	Mackles			
	3,926,655	16 Dec. 1975	Miles			
	3,937,811	10 Feb. 1976	Papantoniou et al.			
	3,969,087	13 Jul. 1976	Saito et al.			
	4,049,792	20 Sept. 1977	Elsnau			
	4,051,159	27 Sep. 1977	Tsoucalas et al.			
	4,062,819	13 Dec. 1977	Mains et al.			
	4,128,436	05 Dec. 1978	O'Hara et al.			
	Re. 29,871	26 Dec. 1978	Papantoniou et al.			
	4,137,306	30 Jan. 1979	Rubino et al.			
	4,150,002	17 Apr. 1979	Drawert et al.			
	4,279,658	21 Jul. 1981	Harvey et al.			
	4,275,054	23 Jun. 1981	Sebag et al.			

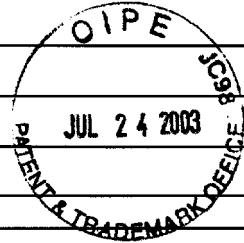
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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,337,298	29 Jun. 1982	Karim et al.			
	4,341,671	27 Jul. 1982	Bolze et al.			
	4,376,194	08 Mar. 1983	Tanaka et al.			
	4,438,240	20 Mar. 1984	Tanaka et al.			
	4,466,936	21 Aug. 1984	Schapel			
	4,536,405	20 Aug. 1985	Nara et al.			
	4,571,267	18 Feb. 1986	Drawert et al.			
	4,655,836	07 Apr. 1987	Drawert et al.			
	4,663,428	05 May 1987	Okitu et al.			
	4,712,571	15 Dec. 1987	Remz et al.			
	4,769,285	06 Sep. 1988	Rasmussen			
	4,820,765	11 Apr. 1989	Whyzmuzis			
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	5,102,656	07 Apr. 1992	Kasat			
	5,223,559	29 Jun. 1993	Arraudeau et al.			
	5,342,894	30 Aug. 1994	Robeson et al.			
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	5,372,852	13 Dec. 1994	Titterington et al.			
	5,389,363	14 Feb. 1995	Snyder et al.			
	5,472,686	05 Dec. 1995	Tsubaki et al.			
	5,505,937	09 Apr. 1996	Castrogiovanni et al.			
	5,610,199	11 Mar. 1997	Cohen et al.			
	5,612,043	18 Mar. 1997	Deprez et al.			
	5,616,331	01 Apr. 1997	Allard et al.			
	5,645,632	08 Jul. 1997	Pavlin			

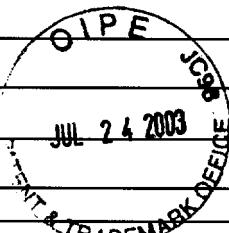
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U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,719,255	17 Feb. 1998	Heucher et al.			
	5,747,625	05 may 1998	Furukawa et al.			
	5,750,125	12 May 1998	Lahanas et al.			
	5,780,517	14 Jul. 1998	Cohen et al.			
	5,807,968	15 Sep. 1998	Heinrich et al.			
	5,837,223	17 Nov. 1998	Barone et al.			
	5,849,275	15 Dec. 1998	Calello et al.			
	5,849,278	15 Dec. 1998	Piot et al.			
	5,849,333	15 Dec. 1998	Nordhauser et al.			
	5,851,517	22 Dec. 1998	Mougin et al.			
	5,857,903	12 Jan. 1999	Ramspeck et al.			
	5,858,338	12 Jan. 1999	Piot et al.			
	5,866,149	02 Feb. 1999	Piot et al.			
	5,871,764	16 Feb. 1999	Diaz et al.			
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	5,945,095	31 Aug. 1999	Mougin et al.			
	5,945,112	31 Aug. 1999	Flynn et al.			
	5,972,354	26 Oct. 1999	de la Poterie et al.			
	5,972,359	26 Oct. 1999	Sine et al.			
	5,981,680	09 Nov. 1999	Petroff et al.			
	6,004,567	21 Dec. 1999	Marchi-Lemann et al.			
	6,036,947	14 Mar. 2000	Barone et al.			
	6,054,517	25 Apr. 2000	Spaulding et al.			
	6,063,398	16 May 2000	Gueret			

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	6,111,055	29 Aug. 2000	Berger et al.			
	6,165,971	26 Dec. 2000	Oppenlander et al.			
	6,203,780 B1	20 Mar. 2001	Arnaud et al.			
	6,203,807 B1	20 Mar. 2001	Lemann			
	6,254,876 B1	03 Jul. 2001	de la Poterie et al.			
	6,242,509 B1	05 Jun. 2001	Berger et al.			
	6,251,409 B1	26 Jun. 2001	Hegyi et al.			
	6,254,877 B1	03 Jul. 2001	de la Poterie et al.			
	6,264,933 B1	24 Jul. 2001	Bodelin et al.			
	6,268,466 B1	31 Jul. 2001	MacQueen et al.			
	6,280,846 B1	28 Aug. 2001	Darby et al.			
	6,325,994 B1	04 Dec. 2001	Collin et al.			
	6,372,235 B1	16 Apr. 2002	Livoreil et al.			
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	6,497,861 B1	24 Dec. 2002	Wang et al.			

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	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	DE 38 39 136 A1	23 May 1990	Germany			Abstract
	DE 197 07 309 A1	13 Aug. 1998	Germany			Abstract
	DE 197 50 246 A1	20 May 1999	Germany			Abstract

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	EP 0 370 470 B1	30 May 1990	Europe			English
	EP 0 374 332 A1	27 Jun. 1990	Europe			English
	EP 0 444 633 A2	04 Sep 1991	Europe			English
	EP 0 557 196 A1	25 Aug. 1993	Europe			Abstract
	EP 0 602 905 B1	22 Jun. 1994	Europe			English
	EP 0 609 132 B1	03 Aug. 1994	Europe			Abstract
	EP 0 628 582 B1	14 Dec. 1994	Europe			English
	EP 0 673 642 B1	27 Sep. 1995	Europe			English
	EP 0 749 746 A1	27 Dec. 1996	Europe			Abstract
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	EP 0 775 483 A1	28 May 1997	Europe			Abstract
	EP 0 797 976 A2	01 Oct. 1997	Europe			English
	EP 0 847 752 A1	17 Jun. 1998	Europe			Abstract
	EP 0 877 063 B1	11 Nov. 1998	Europe			English
	EP 0 879 592 A2	25 Nov. 1998	Europe			Abstract
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	EP 0 930 058 B1	21 Jul. 1999	Europe			Abstract
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	EP 1 068 854 A1	17 Jan. 2001	Europe			Abstract
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	EP 1 086 945 A1	28 Mar. 2001	Europe			Abstract
	EP 1 090 627 B1	11 Apr. 2001	Europe			Abstract
	EP 1 114 636 A1	11 Jul. 2001	Europe			Abstract
	FR 1 529 329	06 May 1968	France			Yes
	FR 2 232 303	01 Mar. 1975	France			Abstract
	FR 2 674 126	25 Sep. 1992	France			Abstract
	FR 2 785 179	05 May 2000	France			Abstract
	FR 2 802 806	29 Jun. 2001	France			Abstract
	FR 2 819 402	19 Jul. 2002	France			Abstract
	GB 1 117 129	19 Jun. 1968	Great Britain			English
	GB 1 194 901	17 Jun. 1970	Great Britain			English
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	GB 1 220 069	20 Jan. 1971	Great Britain			English
	GB 1 444 204	28 Jul. 1976	Great Britain			English
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	GB 2 147 305 A	09 May 1985	Great Britain			English
	GB 2 196 978 A	11 May 1988	Great Britain			English

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	JP 53043577 A	19 Apr. 1978	Japan			Abstract
	JP 56123909 A	29 Sep. 1981	Japan			Abstract
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	JP 61065809 A	04 Apr. 1986	Japan			Abstract
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	JP 9295922 A	18 Nov. 1997	Japan			Abstract
	JP 10259344 A	29 Sep. 1998	Japan			Abstract
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	WO 86/04916	28 Aug 1986	PCT			English
	WO 91/12793	05 Sep. 1991	PCT			Abstract
	WO 94/18261	18 Aug. 1994	PCT			English
	WO 94/21233	29 Sep. 1994	PCT			Abstract
	WO 95/15741	15 Jun. 1995	PCT			Abstract
	WO 95/33000	07 Dec. 1995	PCT			Abstract
	WO 96/40044	19 Dec. 1996	PCT			English
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INFORMATION DISCLOSURE CITATION

Atty. Docket No.	5725.0806-00	Application No.	09/733,896
Applicant	Carlos O. PINZON et al.		
Filing Date	December 12, 2000	Group:	1711



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	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No	
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Applicant	Carlos O. PINZON et al.		
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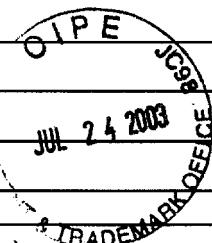
Atty. Docket No.	5725.0806-00	Application No.	09/733,896
Applicant	Carlos O. PINZON et al.		
Filing Date	December 12, 2000	Group:	1711

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	Co-Pending Application No. 10/129,377; Attorney Docket No. 5725.1003-00-000 Title: COSMETIC COMPOSITIONS COMPRISING A POLYMER BLEND U.S. Filing Date: December 11, 2001
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Applicant	Carlos O. PINZON et al.		
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